

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 10574-AD11-0823-30

William P. Roberts

Respondent

2108 N. Reserve Street
Muncie, IN 47303

Type of Agency Action: Enforcement

FILED

SEP 07 2011

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Indiana Department of Insurance ("Department") and William P. Roberts, an applicant for an Indiana resident insurance producer license, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent's license application, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval.

The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth as attached as Exhibit A herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent disclosed a conviction for Burglary, in violation of Indiana Code 27-1-15.6-12(b)(6) on his application for a resident insurance producer license.

2. The Department will issue the Respondent with a Resident Producer License on a Probationary basis. The terms of the probation are as follows. Respondent's license will be placed on a probationary status for one year. Respondent will not violate Indiana insurance laws.
3. Upon the Commissioner's signature of the Final Order, Respondent's Producer License Application and 18 U.S.C. 1033 Federal Violent Crime Control and Law Enforcement Act of 1994 consent will be processed in the normal manner.
4. The Department agrees to accept Respondent's compliance with the terms of this agreement as full resolution of the issues regarding Respondent's producer license application.

ALL OF WHICH IS ORDERED this 7th day of September 2011.



Stephen W. Robertson,
Commissioner
Indiana Department of Insurance

Distribution:

Nikolas P. Mann
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

William P. Roberts
2108 N. Reserve Street
Muncie, IN 47303

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER:10574-AD11-0823-30

IN THE MATTER OF:

William P. Roberts

Respondent

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by Nikolas P. Mann, Attorney for and on behalf of the State of Indiana, Department of Insurance ("Department"), and William P. Roberts, an applicant for a resident insurance producer license. This Agreed Entry is subject to the review and approval of the Commissioner of the Indiana Department of Insurance.

WHEREAS, Respondent is a resident of Indiana; and,

WHEREAS, Respondent submitted an application for a Resident Producer License on August 19, 2011; and,

WHEREAS, Respondent submitted an application for a waiver under 18 U.S.C. 1033 Federal Violent Crime Control and Law Enforcement Act of 1994 (1033); and,

WHEREAS, Respondent disclosed a felony conviction on his application; and,

WHEREAS, the Department and Respondent desire to settle the issues without a hearing;

IT IS THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter of and the parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives his right to a public hearing.
4. Respondent voluntarily and freely waives his right to petition for judicial review of this agreement and the Commissioner's Final Order.
5. Respondent disclosed a conviction for Burglary, in violation of Indiana Code 27-1-15.6-12(b)(6) on his application for a resident insurance producer license.
6. The Department will issue the Respondent with a Resident Producer License on a Probationary basis. The terms of the probation are as follows. Respondent's license will be placed on a probationary status for one year. Respondent will not violate Indiana insurance laws.
7. Upon the Commissioner's signature of the Final Order, Respondent's Producer License Application and 1033 consent will be processed in the normal manner.
8. The Department agrees to accept Respondent's compliance with the terms of this agreement as full resolution of the issues regarding Respondent's producer license application.
9. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.

10. Respondent has carefully read and examined this agreement and fully understands its terms.

8/31/11
Date Signed

Nikolas P. Mann
Nikolas P. Mann, Attorney
Indiana Department of Insurance

8-29-2011
Date Signed

William P Roberts
William P. Roberts, Respondent

STATE OF INDIANA)
) SS:
COUNTY OF Delaware)

Before me a Notary Public for Delaware County, State of Indiana, personally appeared William P. Roberts, and being first duly sworn by me upon his oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 29th day of August, 2011.

Robin Gwaitney
Signature

Robin GWAITNEY
Printed

My Commission expires: 6-2-18

County of Residence: Delaware